

Form PTO-1449 (modified)	Atty. Docket No. 10830.0106.NPUS00	Serial No. Unknown
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Frank S. Caccavale	
	Filing Date: Unknown	Group: Unknown

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
/C.Q/	A1	US2002/0129277 A1	9/2002	Caccavale	713	201	
/C.Q/	A2	6,622,221	9/2003	Zahavi	711	154	9/16/2003
/C.Q/	A3	6,574,667	6/2003	Blumenau et al.	709	229	1/2/2001
/C.Q/	A4	6,438,595	8/2002	Blumenau et al.	709	226	6/24/1998
/C.Q/	A5	6,434,637	8/2002	D'Errico	710	38	12/31/1998
/C.Q/	A6	6,230,200	5/2001	Forecast, et al.	709	226	9/8/1997
/C.Q/	A7	6,223,205	4/2001	Harchol-Balter et al	709	105	02/13/1998
/C.Q/	A8	6,088,803	7/2000	Tso et al.	713	201	12/30/1997
/C.Q/	A9	5,918,008	6/1999	Togawa et al.	395	186	06/03/1996
/C.Q/	A10	5,893,140	4/1999	Vahalia et al.	711	118	11/13/1996
/C.Q/	A11	5,892,937	4/1999	Caccavale	395	462	11/27/1996
/C.Q/	A12	5,835,756	11/1998	Caccavale	395	601	11/27/1996
/C.Q/	A13	5,774,660	6/1998	Brendel et al.	395	200.31	08/05/1996
/C.Q/	A14	5,742,819	4/1998	Caccavale	395	616	11/27/1996
/C.Q/	A15	5,732,240	3/1998	Caccavale	395	445	11/27/1996
/C.Q/	A16	5,664,106	9/1997	Caccavale	395	200.54	02/09/1995
/C.Q/	A17	5,475,839	12/1995	Watson et al.	395	650	11/16/1994
/C.Q/	A18	5,459,837	10/1995	Caccavale	395	184.01	04/21/1993
/C.Q/	A19	5,367,698	11/1994	Webber et al.	395	800	10/31/1991
/C.Q/	A20	5,257,381	10/1993	Cook	395	700	2/28/1992

EXAMINER: /Camquy Truong/	DATE CONSIDERED: 09/23/2008
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)	Atty. Docket No. 10830.0106.NPUS00	Serial No. Unknown
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Frank S. Caccavale	
	Filing Date: Unknown	Group: Unknown

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
/C.Q/	C1	Celerra File Server in the E-Infostructure, EMC Corporation, 176 South Street, Hopkinton, MA 01748, 2000, 12 pages.
/C.Q/	C2	Graphical Management of Celerra Network Server for the Microsoft Administrator, EMC Corporation, 176 South Street, Hopkinton, MA 01748, 5/18/2003, 20 pages.
/C.Q/	C3	Frank S. Caccavale, Sridhar C. Villapakkam, and Skye W. Spear, "Method and Apparatus Providing Centralized Analysis of Distributed System Performance Metrics," United States Patent Application Ser. 10/441,866 filed 5/20/2003.
/C.Q/	C4	John Phillips, "Antivirus Scanning Best Practices Guide," [TR3107], Network Appliance, Inc. 495 East Java Drive, Sunnyvale, CA 94089, pp. 1-6; dated at least as early as 4/12/01
/C.Q/	C5	John Phillips, "Antivirus Scanning Best Practices Guide," [TR3107], Network Appliance, Inc. 495 East Java Drive, Sunnyvale, CA 94089, pp. 1-13; dated at least as early as 9/12/2003
/C.Q/	C6	Gerald Foster, "Desktop Management with Novell ZENworks", Chapter 3, "The Netware Clients and Related Components," 1 st Ed. April 2000, O'Reilly & Associates, Inc., oreilly.com , 30 pages
/C.Q/	C7	NT Exam Issues, "NT Server & Workstation (Exams 70-067 & -073) Issues" by Ken ftq.com , 17 pages, 2/12/2001
/C.Q/	C8	Microsoft Press Computer Dictionary, 3 rd eEd., Microsoft Press, a division of Microsoft Corporation, Redmond, Washington, 1997, Page 500
/C.Q/	C9	Encyclopedia of Computer Science, Third Edition, 1995 International Thomson Computer Press, Boston, MA, Pages 329-330
/C.Q/	C10	Network Anti-Virus Solutions (Info World), August 10, 1998 (Vol. 20, Issue 32) Pages 1-22, Appearing at archive.infoworld.com , version printed 2/23/01

EXAMINER: /Camquy Truong/	DATE CONSIDERED: 09/23/2008
---------------------------	-----------------------------

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

Form PTO-1449 (modified)	Atty. Docket No. 10830.0106.NPUS00	Serial No. Unknown
List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant Frank S. Caccavale	
	Filing Date: Unknown	Group: Unknown

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
/C.Q./	C11	"System Event Notification Services and WMI Enable Flexible, Efficient Mobile Network Computing;" <i>MSDN Magazine</i> , Microsoft Corporation, August 2002.
/C.Q./	C12	Szabo, Bruce, "Using WMI for Inventory Management;" <i>ServerWatch.com</i> , June 4, 2001.
/C.Q./	C13	"NDIS WMI Notes;" <i>NDIS.com</i> ; Printed April 7, 2003.
/C.Q./	C14	"Triggers;" Databases with Mike Chapple; published at databases.about.com at least as early as April 4, 2003.
/C.Q./	C15	Koopmann, James, "Implications of Setting Oracle9iR2's Statistics Collection Level;" <i>DatabaseJournal.com</i> ; April 2, 2003.
/C.Q./	C16	Weinstein, Eric W., "Metric;" Eric Weinstein's World of Mathematics, <i>mathworld.wolfram.com</i> ; CRC Press LLC; Wolfram Research, Inc. 2003
/C.Q./	C17	Weinstein, Eric W., "Metric Entropy;" Eric Weinstein's World of Mathematics, <i>mathworld.wolfram.com</i> ; CRC Press LLC; Wolfram Research, Inc., 2003.
/C.Q./	C18	Hillman, Chris, "Entropy in Ergodic Theory and Dynamical Systems;" www.math.psu.edu , May 7, 2001.
/C.Q./	C19	Ward, T., "Entropy of Compact Group Automorphisms;" <i>Lecture Notes; University of East Anglia, Norwich, Norfolk</i> ; www.mth.uea.ac.uk , 2001

EXAMINER: /Camquy Truong/

DATE CONSIDERED: 09/23/2008

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)